



IBM/33B  
Confirmation No. 9754

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian John Cragun et al.  
Serial No.: 09/004,034  
Filed: January 7, 1998  
For: AUTOMATIC SALES PROMOTION SELECTION SYSTEM AND METHOD

Art Unit: 3622  
Examiner: Melanie A. Kemper  
Atty. Docket No.: IBM/33B

INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with Applicants' duty of disclosure under the provisions of 37 C.F.R. § 1.56, the references listed on the enclosed Form PTO-1449 are brought to the attention of the Examiner. Copies of the references are also enclosed. The references were all cited in a divisional application claiming priority on this case, U.S. Serial No. 09/707,514, filed on November 7, 2000.

No representation is made that any of the references are "prior art" within the meaning of 35 U.S.C. § 102 or § 103. Moreover, any explanations herein are not to be taken as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relative relevance of any portion of a reference is intended.

Consideration of the references and the remarks presented herein in the above-identified application is respectfully requested. No fee is required pursuant to 37 C.F.R. § 1.97(b)(4).

If there are any questions regarding this paper, or which might otherwise further this case onto allowance, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

Scott A. Stinebruner  
Reg. No. 38,323  
WOOD, HERRON & EVANS, L.L.P.  
2700 Carew Tower  
441 Vine Street  
Cincinnati, Ohio 45202  
(513) 241-2324

6-25-04  
Date

SUBSTITUTE FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
IBM/338SERIAL NO.  
09/004,034INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
Brian John Cragun et al.

Confirmation No. 9754

(37 CFR 2.98(b))

(Use several sheets if necessary)

FILING DATE  
January 7, 1998GROUP  
3622

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	8	3	3	3	0	8	5/23/1989	Humble	235	383	7/24/1986
	AB	5	2	9	9	1	1	5	3/29/1994	Fields et al.	384	401	2/5/1993
	AC	5	3	9	0	2	8	1	2/14/1995	Luciw et al.	395	12	5/27/1992
	AD	5	4	7	3	7	3	2	12/5/1995	Chang	395	77	2/7/1995
	AE	5	6	2	1	8	1	2	4/15/1997	Deaton et al.	382	100	5/17/1993
	AF	5	6	9	6	9	6	5	12/9/1997	Dedrick	395	610	11/3/1994
	AG	5	7	2	4	4	8	8	3/3/1988	Prezioso	395	61	8/30/1996
	AH	5	7	2	4	5	2	1	3/3/1988	Dedrick	395	226	11/3/1994
	AI	5	9	7	4	4	2	2	10/26/1999	Kagami et al.	707	104	10/24/1997
	AJ	6	3	2	1	2	1	0	11/20/2001	O'Brien et al.	705	14	9/12/2000
	AK												

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	AL	WO 97/50064	31.12.1997	World	G07F	7/00	Yes
	AM						
	AN						
	AO						
	AP						
	AQ						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered.  
Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)